

# Global Generation

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*Per Fabio che ci manca tanto*

## 1. Introduction

We work over an algebraically closed field of characteristic zero and a curve  $C \subset \mathbb{P}^3$  is a closed subscheme of (pure) dimension one, locally Cohen-Macaulay and generically a local complete intersection. For such a curve we introduce the following invariants:

$$\begin{aligned}\epsilon(C) &= \max\{k \mid \omega_C(-k) \text{ has a section generating} \\ &\hspace{15em} \text{almost everywhere}\} \\ m(C) &= \min\{k \mid \mathcal{I}_C(k) \text{ is generated by global sections}\}.\end{aligned}$$

We prove:

**THEOREM 1.1.** *With notations as above:*

1.  $m \geq \frac{\epsilon+4}{2}$  with equality if and only if  $C$  is a complete intersection  $(a, a)$
2.  $m = \lceil \frac{\epsilon+4}{2} \rceil + 1$  if and only if  $C$  is one of the following:
  - a section of a null-correlation bundle
  - $C$  is a.C.M. and one of the following:
    - (a) a complete intersection  $(b, b-1), (b, b-2)$
    - (b)  $C$  is linked to a plane curve of degree  $m-1$  by a complete intersection  $(m, m)$

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- (c)  $C$  is bilinked to  $Y$  by complete intersections  $(2, m), (m, m)$  where  $Y$  is either: a complete intersection  $(2, 2)$ , a "twisted cubic", a plane curve of degree  $\leq 2$ .

Taking hyperplane sections we get:

**COROLLARY 1.2.** *Let  $X \subset \mathbb{P}^n$ ,  $n \geq 4$ , be a smooth codimension two subvariety which is not a.C.M. If  $\mathcal{I}_X(m)$  is generated by global sections, then  $m > \lfloor \frac{e(X)+n+1}{2} \rfloor + 1$ .*

## 2. Global generation for curves in $\mathbb{P}^3$

**DEFINITION 2.1.** *In this note a curve  $C \subset \mathbb{P}^3$  is a one-dimensional closed subscheme, which is locally Cohen-Macaulay and generically a local complete intersection. These are the curves associated to rank two reflexive sheaves (see [3]).*

*We associate to such a curve the following numerical invariants:*

$$\epsilon(C) := \max\{k \mid \omega_C(-k) \text{ has a non-zero section} \\ \text{which generates almost everywhere}\}$$

and:

$$m(C) := \min\{k \mid \mathcal{I}_C(k) \text{ is generated by global sections}\}.$$

*If no confusion can arise we will simply write  $\epsilon, m$ . Of course we will also consider the degree ( $d$ ) and the arithmetic genus ( $p_a$ ) of a curve.*

We observe that if  $C$  is integral, then  $\epsilon = e := \max\{k \mid h^1(\mathcal{O}_C(k)) \neq 0\}$ , ( $e$  is the index of speciality of  $C$ ); but in general we only have:  $\epsilon \leq e$ .

A general section of  $\omega_C(-\epsilon)$  yields an exact sequence:

$$0 \rightarrow \mathcal{O} \rightarrow F \rightarrow \mathcal{I}_C(\epsilon + 4) \rightarrow 0 \quad (+)$$

where  $F$  is a rank two reflexive sheaf with Chern classes:  $c_1 = \epsilon + 4$ ,  $c_2 = d$ ,  $c_3 = 2p_a - 2 - d\epsilon$ . By abuse of language we will say that  $F$  is the rank reflexive sheaf associated to  $C$ .

LEMMA 2.2. *Let  $C \subset \mathbb{P}^3$  be a curve. Then  $m \geq \frac{\epsilon+4}{2}$ .*

*Furthermore  $m = \frac{\epsilon+4}{2}$  if and only if  $C$  is a complete intersection of type  $(a, a)$ .*

*Proof.* It is clear that for a complete intersection of type  $(a, b)$ ,  $a \leq b$ , we have:  $\frac{\epsilon+4}{2} = \frac{a+b}{2}$  and  $m = b$ . So  $m \geq \frac{\epsilon+4}{2}$  with equality if and only if  $a = b$ . So we may assume, from now on, that  $C$  is not a complete intersection. Consider the exact sequence (+) twisted by  $m - \epsilon - 4$ :

$$0 \rightarrow \mathcal{O}(m - \epsilon - 4) \rightarrow F(m - \epsilon - 4) \rightarrow \mathcal{I}_C(m) \rightarrow 0$$

Since  $C$  is not a complete intersection and since  $\mathcal{I}_C(m)$  is globally generated, we have:  $h^0(F(m - \epsilon - 4)) \geq h^0(\mathcal{I}_C(m)) \geq 3$ . Moreover a general section of  $F(m - \epsilon - 4)$  vanishes in codimension two. So we have:

$$0 \rightarrow \mathcal{O} \rightarrow F(m - \epsilon - 4) \rightarrow \mathcal{I}_Y(2m - \epsilon - 4) \rightarrow 0$$

where  $Y$  is a (non empty) curve. Since  $h^0(\mathcal{I}_Y(2m - \epsilon - 4)) > 0$ , we get:  $2m - \epsilon - 4 > 0$ .  $\square$

REMARK 2.3. *In case  $C$  is a smooth, subcanonical curve (i.e.  $\omega_C(-e) \simeq \mathcal{O}_C$ ), the result can be proved by completely different arguments.*

*From the exact sequence:*

$$0 \rightarrow \mathcal{I}_C^2(m) \rightarrow \mathcal{I}_C(m) \rightarrow N_C^*(m) \rightarrow 0$$

*It follows that  $N_C^*(m)$  is generated by global sections. Since  $N_C$  has rank two and  $\det(N_C) = \omega_C(4) = \mathcal{O}_C(e + 4)$ , we get:  $N_C^*(m) = N_C(-e - 4 + m)$ . A general section yields:*

$$0 \rightarrow \mathcal{O}_C \rightarrow N_C(-e - 4 + m) \rightarrow \mathcal{O}_C(-e - 4 + 2m) \rightarrow 0 \quad (*)$$

*and  $\mathcal{O}_C(-e - 4 + 2m)$  is globally generated, hence  $\deg(\mathcal{O}_C(-e - 4 + 2m)) \geq 0$  and this implies:  $m \geq \frac{\epsilon+4}{2}$ . If  $m = \frac{\epsilon+4}{2}$ , then (\*) becomes:*

$$0 \rightarrow \mathcal{O}_C \rightarrow N_C(-e - 4 + m) \rightarrow \mathcal{O}_C \rightarrow 0 \quad (**)$$

*it follows that  $h^0(N_C(-e - 4 + m)) \leq 2$ , since we have a surjection:  $H^0(N_C(-e - 4 + m)) \otimes \mathcal{O}_C \rightarrow N_C(-e - 4 + m)$ , we conclude that:  $N_C \simeq$*

$2\mathcal{O}_C(m)$ . Now  $C \subset F_m$  where  $F_m$  is a smooth surface of degree  $m$  (because  $\mathcal{I}_C(m)$  is globally generated) and the exact sequence of normal bundles:

$$0 \rightarrow N_{C, F_m} \rightarrow N_C \rightarrow N_{F_m} \rightarrow 0 \quad (+)$$

reads like:

$$0 \rightarrow \mathcal{O}_C(m) \rightarrow 2\mathcal{O}_C(m) \rightarrow \mathcal{O}_C(m) \rightarrow 0$$

Hence (+) splits and by [2],  $C$  is a complete intersection.

Now we try to investigate further. As already noticed the case of complete intersection curves is clear, hence from now on we will assume  $C$  is not a complete intersection.

LEMMA 2.4. *Let  $C \subset \mathbb{P}^3$  be a non-complete intersection curve. If  $\epsilon$  is odd, then  $m = \lfloor \frac{\epsilon+4}{2} \rfloor + 1$  if and only if  $C$  is linked to a plane curve of degree  $m - 1$  by a complete intersection  $(m, m)$ .*

*Proof.* We set  $\epsilon = 2t + 1$  so  $m = t + 3$  and the associated exact sequence is:

$$0 \rightarrow \mathcal{O} \rightarrow F \rightarrow \mathcal{I}_C(2t + 5) \rightarrow 0$$

Since  $\mathcal{I}_C(t + 3)$  is generated by global sections, we have  $h^0(F(-t - 2)) \geq 3$  and a general section of  $F(-t - 2)$  vanishes in codimension two:

$$0 \rightarrow \mathcal{O} \rightarrow F(-t - 2) \rightarrow \mathcal{I}_Y(1) \rightarrow 0$$

It follows that  $h^0(\mathcal{I}_Y(1)) \geq 2$ , hence  $Y$  is a line. Now, by construction (being sections of the same reflexive sheaf),  $C$  is bilinked to  $Y$ ; more precisely this is achieved by complete intersections  $(1, t + 3), (t + 3, t + 3)$ . The first linkage links  $Y$  to a plane curve,  $P$ , of degree  $t + 2$ . Then  $P$  is linked to  $C$  by a complete intersection  $(t + 3, t + 3)$ .

Finally it is easy to check that such a  $C$  satisfies  $m = \lfloor \frac{\epsilon+4}{2} \rfloor + 1$ .  $\square$

The case  $\epsilon$  even is a little bit more tricky. Let us begin with:

LEMMA 2.5. *Let  $C \subset \mathbb{P}^3$  be a non-complete intersection curve. If  $\epsilon$  is even and if  $m = \frac{\epsilon+4}{2} + 1$ , then  $C$  is bilinked by complete intersections  $(2, m), (m, m)$  to one of the following curves:*

1. a curve,  $Y$ , of degree  $\leq 4$ , contained in a complete intersection  $(2, 2)$
2. a plane curve
3. the (scheme theoretical) union of a plane curve,  $P$ , with a line  $L$ .

*Proof.* We set  $\epsilon = 2t$ , so  $m = t + 3$  and proceed like in the proof of Lemma 2.4, this time we get:

$$0 \rightarrow \mathcal{O} \rightarrow F \rightarrow \mathcal{I}_C(2t + 4) \rightarrow 0$$

and

$$0 \rightarrow \mathcal{O} \rightarrow F(-t - 1) \rightarrow \mathcal{I}_Y(2) \rightarrow 0$$

and we conclude that  $h^0(\mathcal{I}_Y(2)) \geq 2$ . If there are two quadrics containing  $Y$  without a common component, we are in case (1). Assume now that all the quadrics in  $H^0(\mathcal{I}_Y(2))$  share a common plane  $H_0$ , so  $H^0(\mathcal{I}_Y(2)) \simeq \{H_0 \cup H_t\}$ , where the  $H_t$  build an  $\infty^r$  linear system of planes. If  $r > 1$ , the base locus of this system has dimension  $\leq 0$  and  $Y$  is a plane curve: this is case (2). If  $r = 1$ , the base locus is a line  $L$  and we are in case (3).  $\square$

Now we have to see if these cases are indeed effective. There are many possibilities, for instance in case (3) we have: (a)  $L \cap P = \emptyset$ , (b)  $L \cap P = \text{one point}$ , (c)  $L \subset H_0$  but  $L$  is multiple. To make things more manageable we will first assume that  $C$  is not arithmetically Cohen-Macaulay (a.C.M.). Also observe that in this case we don't know the degree of  $Y$ , we just have  $d(Y) \leq m$ .

LEMMA 2.6. *Let  $C \subset \mathbb{P}^3$  be a non a.C.M. curve. If  $\epsilon$  is even, then  $m = \frac{\epsilon+4}{2} + 1$  if and only if  $C$  is a section of a null-correlation bundle.*

*Proof.* We examine the various cases of Lemma 2.5.

1. Since  $C$  is not a.C.M.,  $Y$  has necessarily degree two and is a double line of genus  $-p$ ,  $p \geq 1$  or the union of two skew lines. (Indeed a curve of degree three contained in a complete intersection  $(2, 2)$  is linked to a line, hence is a.C.M.). Now the extension:  $0 \rightarrow \mathcal{O} \rightarrow F(-t - 1) \rightarrow \mathcal{I}_Y(2) \rightarrow 0$  (see proof of

Lemma 2.5) corresponds to a section of  $\omega_Y(2)$ , hence  $c_3(F) = 2p_a(Y) - 2 + 2d(Y)$ . If  $Y$  is a double line of genus  $-p$ , we get:  $c_3(F) = -2p + 2$ . Since  $c_3(F) \geq 0$ ,  $p \leq 1$ . If  $p = 0$ ,  $Y$  is a.C.M. and this is excluded. So  $p = 1$ ,  $c_3(F) = 0$  and  $F$  is a null-correlation bundle. This is a fortiori true if  $Y$  is the union of two skew lines.

2. This case doesn't occur ( $Y$  is a.C.M.).
3. Here  $Y = P \cup L$  and we have three cases: a)  $Y \cap L = \emptyset$  b)  $Y \cap L = \{p\}$  c)  $L \subset H_0 = \langle P \rangle$  but  $L$  is multiple.

In case b),  $Y$  is a.C.M. Indeed we have an exact sequence:

$$0 \rightarrow \mathcal{I}_Y \rightarrow \mathcal{I}_P \rightarrow \mathcal{O}_L(-1) \rightarrow 0$$

which induces  $f_m : H^0(\mathcal{I}_P(m)) \rightarrow H^0(\mathcal{O}_L(m-1))$ . We have  $f_m(H_0 F_{m-1}) = F_{m-1}|_L$ , so  $f_m$  is surjective for  $m \geq 1$  and  $H_*^1(\mathcal{I}_Y) = 0$ .

For the other two cases we begin with a general remark. By Lemma 2.5  $C$  is bilinked to  $Y$  by complete intersections  $(2, m), (m, m)$ . More precisely:  $Y \cup Z$  is a complete intersection,  $U$ , of type  $(2, m)$  and  $Z \cup C$  a complete intersection,  $V$ , of type  $(m, m)$ . The exact sequences of liaison yield:

$$0 \rightarrow \mathcal{I}_V(m) \rightarrow \mathcal{I}_C(m) \rightarrow \omega_Z(4-m) \rightarrow 0$$

$$0 \rightarrow \mathcal{I}_U(2) \rightarrow \mathcal{I}_Y(2) \rightarrow \omega_Z(4-m) \rightarrow 0$$

It follows that  $\omega_Z(4-m)$  is globally generated and  $h^0(\omega_Z(4-m)) = h^0(\mathcal{I}_Y(2)) - h^0(\mathcal{I}_U(2))$ . If this number is  $= 1$ , then  $\omega_Z(4-m) \simeq \mathcal{O}_Z$ . It follows that  $p_a(Z) = 1 + (m-2)z$  ( $z = d(Z)$ ). On the other hand, by liaison,  $p_a(Z) = p_a(Y) + (z-m)(m-2)$ , hence:  $p_a(Y) = 1 + m(m-2)$  (+).

Case a): If  $Y$  is the disjoint union of a plane curve of degree  $p$  and a line, then a direct computation yields:  $p_a(Y) = \frac{(p-1)(p-2)}{2} - 1$ . Combining with (+), we get:  $2(m-1)^2 = p(p-3)$ . Since  $m > p$ , this cannot hold. We conclude that

$h^0(\mathcal{I}_Y(2)) > 2$ . This implies that  $P$  is a line, i.e.  $Y$  is the disjoint union of two lines and  $C$  is a section of a null-correlation bundle.

Case c): This time  $L \subset H_0$  is a component of  $P$  but  $L$  carries a multiple structure which sticks out of the plane. We have the residual exact sequence with respect to  $H_0$  ([1], proof of Thm. 8):

$$0 \rightarrow \mathcal{I}_L(-1) \rightarrow \mathcal{I}_Y \rightarrow \mathcal{I}_{Y \cap H_0, H_0} \rightarrow 0$$

here  $Y \cap H_0$  is the union of  $P$  with a zero-dimensional subscheme,  $A$ , with support on  $L$ . If  $R$  is the residual scheme of  $A$  with respect to  $P$ , then we have:

$$0 \rightarrow \mathcal{O}_R(-p) \rightarrow \mathcal{O}_{Y \cap H_0} \rightarrow \mathcal{O}_P \rightarrow 0$$

and  $p_a(Y) = \frac{(p-1)(p-2)}{2} - r$ , where  $r = \text{length}(R)$  ([1], proof of Thm. 8). Arguing as above, we get:  $2(m-1)^2 + 2(r-1) = p(p-3)$ . But this cannot hold, so  $h^0(\mathcal{I}_Y(2)) > 2$ . This implies that  $Y$  is a double line indeed  $Y$  has support on  $L$  and is contained in the first infinitesimal neighborhood of  $L$ , moreover  $Y$  is generically a local complete intersection. As in (1), we conclude that  $C$  is a section of a null-correlation bundle. Finally it is easy to check that sections of a null-correlation bundle satisfy  $m = \frac{\epsilon+4}{2} + 1$ .

□

To conclude we have:

LEMMA 2.7. *Let  $C \subset \mathbb{P}^3$  an a.C.M. curve. If  $\epsilon$  is even, then  $m = \frac{\epsilon+4}{2} + 1$  if and only if  $C$  is one of the following:*

- *a complete intersection of type  $(b, b-2)$  or:*
- *$C$  is bilinked by complete intersections  $(m, m), (2, m)$  to  $Y$  where  $Y$  is one of the following:*
  - (a) *a complete intersection  $(2, 2)$ ;*
  - (b) *a "twisted cubic" (i.e.  $Y$  has minimal free resolution:*

$$0 \rightarrow 2\mathcal{O}(-3) \rightarrow 3\mathcal{O}(-2) \rightarrow \mathcal{I}_Y \rightarrow 0);$$

(c) a plane curve of degree  $\leq 2$ .

*Proof.* By Lemma 2.5 we have to check the cases where  $Y$  is contained in a complete intersection  $(2, 2)$ , where  $Y$  is a plane curve or the union of a plane curve with a line meeting it at one point. Let's start with this last case. If  $Y = P \cup L$  where  $L \cap P = \{x\}$ , then  $Y$  is linked to a plane curve of degree  $p - 1$  by a complete intersection  $(2, p)$ . Indeed let  $Q = H_0 \cup H$  where  $H_0 = \langle P \rangle$  and where  $H$  contains  $L$ , then take  $K$  a cone of base  $P$ , vertex a point of  $L$ , then  $Q \cap K$  makes the job. From the resolution of a plane curve of degree  $p - 1$ , we get, by mapping cone:

$$0 \rightarrow \mathcal{O}(-1-p) \oplus \mathcal{O}(-3) \rightarrow 2\mathcal{O}(-2) \oplus \mathcal{O}(-p) \rightarrow \mathcal{I}_Y \rightarrow 0$$

Now we perform the liaisons  $(2, m)$ ,  $(m, m)$  and by mapping cone we get:

$$\begin{aligned} 0 &\rightarrow \mathcal{O}(-2m+2) \oplus \mathcal{O}(-m-1) \oplus \mathcal{O}(-m+1-p) \\ &\rightarrow 3\mathcal{O}(-m) \oplus \mathcal{O}(-m+2-p) \rightarrow \mathcal{I}_C \rightarrow 0 \end{aligned} \quad (1)$$

Clearly if  $p \leq 2$  then  $\mathcal{I}_C(m)$  is generated by global sections and  $e(C) = 2m - 6$ . If  $p > 2$  and if  $\mathcal{I}_C(m)$  is globally generated, then we have:

$$0 \rightarrow E \rightarrow 3\mathcal{O}(m) \rightarrow \mathcal{I}_C \rightarrow 0$$

where  $E$  is a rank two vector bundle. Since  $C$  is a.C.M.,  $H_*^2(E) = 0$ , by Serre duality and the isomorphism  $E^* \simeq E(-c_1)$ , also  $H_*^1(E) = 0$ , by Horrocks theorem,  $E$  splits, a contradiction (look at the minimal free resolution). So  $p \leq 2$  and  $Y$  is either a (degenerated) conic or twisted cubic.

A similar phenomenon occurs when  $Y$  is a degree  $p$  plane curve. Performing the first liaison  $(2, m)$  we link  $P$  to a curve  $Z$  and, as already noticed, if  $\mathcal{I}_C(m)$  is globally generated, then  $\omega_Z(4 - m)$  is also. Let's see that this is not the case if  $p > 2$ . Let's consider the general case: the quadric is the union of two distinct planes,  $H, H'$ , and  $Z$  is the union of a plane curve,  $X$ , of degree  $m$  with a plane curve,  $T$ , of degree  $m - p$ ,  $X$  and  $T$  not containing  $H \cap H'$ . The genericity assumption is not a problem because the Hilbert scheme parametrizing the curves  $Z$  is irreducible and being globally

generated is an open condition. Now since  $Z$  is a.C.M.  $X \cap T = T \cap \langle X \rangle$  and:  $\omega_Z|_T \simeq \omega_T(1)$ . It follows that  $\omega_Z(4 - m)|_T \simeq \omega_T(5 - m) \simeq \mathcal{O}_T(-p + 2)$  which is globally generated only if  $p \leq 2$ . Finally observe that if  $m = p$ , then  $C$  is a complete intersection  $(m, m - 1)$  ( $\epsilon$  odd).

In the remaining cases (complete intersection  $(2, 2)$ , twisted cubic) one checks directly that the required conditions are satisfied.  $\square$

This concludes the proof of Theorem 1.1.

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