

AN APPLICATION OF THE THEORY OF SELECTIONS IN ANALYSIS (*)

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SOMMARIO. - Usando uno dei teoremi di selezione di E. Michael si prova il seguente risultato: siano (X, d) e (Y, ρ) spazi metrici e sia X localmente compatto. Sia $\mathcal{C}(X, Y)$ l'insieme di tutte le mappe continue da X a Y , dotato della topologia della convergenza uniforme. Allora esiste una funzione continua ad un valore $\hat{\delta} : \mathcal{C}(X, Y) \times X \times (0, \infty) \rightarrow (0, \infty)$ tale che per ogni $(f, x, \varepsilon) \in \mathcal{C}(X, Y) \times X \times (0, \infty)$ e per ogni $x' \in X : d(x, x') < \hat{\delta}(f, x, \varepsilon) \Rightarrow \rho(f(x), f(x')) < \varepsilon$. Come corollario, si ottiene un'altra dimostrazione del fatto che il teorema di Cantor sulla uniforme continuità implica il Teorema di Weierstrass sulla limitatezza delle funzioni continue sui compatti.

SUMMARY. - Using one of E. Michael's selection theorems we prove the following result: Let (X, d) and (Y, ρ) be metric spaces and suppose that X is locally compact. Let $\mathcal{C}(X, Y)$ be the set of all continuous maps from X to Y , endowed with the topology of uniform convergence. Then there exists a continuous singlevalued function $\hat{\delta} : \mathcal{C}(X, Y) \times X \times (0, \infty) \rightarrow (0, \infty)$ such that for every $(f, x, \varepsilon) \in \mathcal{C}(X, Y) \times X \times (0, \infty)$ and for every $x' \in X : d(x, x') < \hat{\delta}(f, x, \varepsilon) \Rightarrow \rho(f(x), f(x')) < \varepsilon$. As a corollary, we obtain another proof that the Cantor theorem on uniform continuity implies the Weierstrass theorem on boundedness of continuous functions on compacta.

1. Introduction.

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Let (X, d) and (Y, ρ) be metric spaces and let $\mathcal{C}(X, Y)$ be the set of all continuous maps from X into Y , endowed with the topology of *uniform convergence*: (i.e. the ε -neighbourhood of a map $f \in \mathcal{C}(X, Y)$ is the set $\{g \in \mathcal{C}(X, Y) \mid \rho(f(x), g(x)) < \varepsilon \text{ for all } x \in X\}$.) For every triple $z = (f, x, \varepsilon)$ from the Cartesian product $Z = \mathcal{C}(X, Y) \times X \times (0, \infty)$ there exists, by the definition of continuity, $\delta > 0$ such that for every $x' \in X$: $d(x, x') < \delta \implies \rho(f(x), f(x')) < \varepsilon$. The purpose of this note is to show that whenever X is a locally compact space, it is possible to choose $\delta > 0$ which *continuously* depends on $z = (f, x, \varepsilon)$.

THEOREM 1.1. *Let (X, d) and (Y, ρ) be metric spaces and suppose that X is locally compact. Then there exists a continuous singlevalued function*

$$\hat{\delta} : \mathcal{C}(X, Y) \times X \times (0, \infty) \longrightarrow (0, \infty)$$

such that for every $(f, x, \varepsilon) \in \mathcal{C}(X, Y) \times X \times (0, \infty)$ and for every $x' \in X$ the following implication holds:

$$d(x, x') < \hat{\delta}(f, x, \varepsilon) \implies \rho(f(x), f(x')) < \varepsilon .$$

The function $\hat{\delta}$ will be constructed as a selection of some lower semicontinuous multivalued map $\Delta : \mathcal{C}(X, Y) \times X \times (0, \infty) \rightarrow (0, \infty)$ with convex values. Recall, that a singlevalued map $\varphi : A \rightarrow B$ is said to be a *selection* of a multivalued map $\Phi : A \rightarrow B$ if for every point $a \in A$, we have that $\varphi(a) \in \Phi(a)$. A multivalued map $\Phi : A \rightarrow B$ between topological spaces A and B is called *lower semicontinuous* if for every open nonempty subset $G \subset B$, the following subset $\Phi^{-1}(G) = \{a \in A \mid \Phi(a) \cap G \neq \emptyset\}$ is open in A .

We shall use the following selection theorem for convex-valued but non-closed valued maps (cf. [1, Theorem (3.1)''']):

THEOREM 1.2. (E. Michael) *For every Hausdorff space X the following conditions are equivalent:*

- a) X is perfectly normal; and
- b) Every lower semicontinuous map from X into convex D -type subsets of a separable Banach space has a continuous singlevalued selection. \diamond

Recall, that a convex subset of a Banach space is said to be of D -type if it contains all interior (in the convex sense) points of its closure. A point of

a closed convex subset of a Banach space is said to be *interior* (in the convex sense) if it isn't contained in any supporting hypersubspace. It is easy to see that all finite-dimensional convex sets are examples of convex D -type sets. In this case the set of all interior, in the convex sense, points coincides with the set of all interior, in the usual sense, points. Next, the space $\mathcal{C}(X, Y)$ is metrizable and the space $Z = \mathcal{C}(X, Y) \times X \times (0, \infty)$ is metrizable, too. Hence the space Z is perfectly normal and so we may indeed use Theorem (1.2) for lower semicontinuous maps with finite-dimensional convex values.

2. Proof of Theorem 1.1.

We shall denote by $V(t; \delta)$ the open neighborhood of radius δ and by \overline{A} the closure of a subset $A \subset X$ in X . Define the multivalued map $\Delta : Z \rightarrow (0, \infty)$ as follows:

$$\Delta(z) = \Delta(f, x, \varepsilon) = \{ \delta \in \mathbf{R}_+^* \mid \overline{\mathbf{V}(\mathbf{x}; \delta)} \text{ is compact; and} \quad (1)$$

$$\text{for every } x' \in X, (d(x, x') < \delta \implies \rho(f(x), f(x')) < \varepsilon) \} . \quad (2)$$

The set $\Delta(z)$ is a nonempty subset of $(0, \infty) \subset \mathbf{R}$ because X is locally compact and $f \in \mathcal{C}(X, Y)$. From the obvious inclusion $V(x, t\delta) \subset V(x, \delta)$, $0 < t < 1$, the convexity of the set $\Delta(z)$ follows.

So, in order to prove Theorem (1.1) we only need to check the lower semicontinuity of the multivalued map $\Delta : Z \rightarrow \mathbf{R}_+^*$. Suppose that, to the contrary, there exists

- i) a point $z_0 = (f_0, x_0, \varepsilon_0) \in Z$;
- ii) a point $\delta_0 \in \Delta(z_0) \subset \mathbf{R}_+^*$;
- iii) a number $0 < \sigma < \delta_0$; and
- iv) a sequence $\{z_n = (f_n, x_n, \varepsilon_n) \in Z\}$, such that $z_n \rightarrow z_0$; and

$$\Delta(z_n) \cap V(\delta_0; \sigma) = \emptyset . \quad (3)$$

If $\delta \in \Delta(z_n)$ then $t\delta \in \Delta(z_n)$, for every $0 < t < 1$. Hence the condition (3) is equivalent to

$$\sup \Delta(z_n) \leq \delta_0 - \sigma . \quad (4)$$

Since $x_n \rightarrow x_0$ in X we may assume that for every point x_n there exists a δ_n -neighborhood in X such that

$$\delta_n > \delta_0 - \sigma \quad (5)$$

and

$$V(x_n, \delta_n) \subset V(x_0, \delta_0 - \sigma/2) . \quad (6)$$

In particular, $\overline{V(x_n, \delta_n)}$ will automatically be compact.

From (5) we have that $\delta_n \notin \Delta(z_n)$, i.e. there exists $x'_n \in X$ such that

$$x'_n \in V(x_n, \delta_n) \quad (7)$$

and

$$\rho(f_n(x'_n), f_n(x_n)) \geq \varepsilon_n . \quad (8)$$

Now, the set $\overline{V(x_0, \delta_0 - \sigma/2)}$ is compact. Therefore, we may assume that

$$x'_n \longrightarrow x' \in \overline{V(x_0; \delta_0 - \sigma/2)} \subset V(x_0, \delta_0) .$$

Since $\delta_0 \in \Delta(z_0)$ we have that

$$\rho(f_0(x'), f_0(x_0)) < \varepsilon_0 . \quad (9)$$

On the other hand, if we pass in (8) to the limit (when $n \rightarrow \infty$), then we have that

$$\rho(f_0(x'), f_0(x_0)) \geq \varepsilon_0 \quad (10)$$

which contradicts (9).

To verify (10) it suffices to check that $f_n(x_n) \rightarrow f_0(x_0)$ and that $f_n(x'_n) \rightarrow f_0(x')$. But we have that

$$\rho(f_n(x_n), f_0(x_0)) \leq \rho(f_n(x_n), f_0(x_n)) + \rho(f_0(x_n), f_0(x_0)) . \quad (11)$$

The first term on the right hand side of (11) converges to zero because the sequence $\{f_n\}_{n \in \mathbf{N}}$ is uniformly converging to f_0 . The second term on the right hand side of (11) converges to zero because f_0 is continuous. The convergence $f_n(x'_n) \rightarrow f_0(x')$ may be checked in an analogous manner. \diamond

EXAMPLE 2.1. Let $X = Y = (-1, 1)$, $f_0(x) = x$ and let $\Delta_1(f_0, x, \varepsilon) = \{\delta \in (0, \infty) \mid \text{for every } x' \in X, (d(x, x') < \delta \implies \rho(f_0(x), f_0(x')) < \varepsilon)\}$ (i.e. in the definition (1) above we omit the condition that $\overline{V(x; \delta)}$ is compact. Then obviously, $\Delta_1(f_0, 0, 1) = \mathbf{R}_+^*$. However, for every nonzero $x \in (-1, 1)$ we have that $\Delta_1(f_0, x, 1) = (0, 1]$. Hence, the map Δ_1 is *not*

lower semicontinuous. Note that in this example, $\overline{V(0,1)} = V(0,1)$ isn't compact. So the condition (1) from the definition of the map Δ above is indeed necessary for our application of Theorem (1.2). Clearly, if we had condition (1) added in this example then we would also obtain a lower semicontinuous map Δ which would be inscribed into the map Δ_1 . So in this example our proof would also work.

Recall two results from classical analysis: let $f : X \rightarrow \mathbf{R}$ be a continuous real-valued function on a compact metric space X . Then the Weierstrass theorem asserts that f is bounded (above and below) and the Cantor theorem asserts that f is uniformly continuous on X . As an application of Theorem (1.1) we shall prove the following interesting observation:

COROLLARY 2.2. *The Cantor theorem on uniform continuity is a corollary of the Weierstrass theorem on boundedness of continuous functions on compacta.*

Proof. Suppose that X is a compact metric space. Pick any $f_0 \in \mathcal{C}(X, \mathbf{R})$ and $\varepsilon_0 > 0$ and consider the set $W = \{\hat{\delta}(f_0, x, \varepsilon_0) \mid x \in X \text{ and } \hat{\delta}(f_0, x, \varepsilon_0) : \mathcal{C}(X, \mathbf{R}) \times \mathbf{X} \times (0, \infty) \rightarrow (0, \infty) \text{ is continuous}\}$. Then by Theorem (1.1) the set W is nonempty, so pick any $\hat{\delta}_0 \in W$. Clearly, one can consider $\hat{\delta}_0$ as $\hat{\delta}_0 \in \mathcal{C}(X, \mathbf{R})$. Apply the Weierstrass theorem to obtain the minimum $\delta_0 = \min\{\hat{\delta}_0(x) \mid x \in X\}$. Then any $\delta \in (0, \delta_0)$ will provide the uniform continuity assertion of the Cantor theorem (for f_0 and ε_0). \diamond

QUESTION 2.3. *Is the hypothesis about the local compactness of X in Theorem (1.1) necessary? (It certainly is for our proof as Example (2.1) shows.)**

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