

# LOCALLY CONVEX TOPOLOGIES ON RINGS OF CONTINUOUS FUNCTIONS (\*)

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**SOMMARIO** - Si esaminano quattro topologie convesse  $\sigma$ ,  $\tau$ ,  $\beta$ ,  $\delta$  sull'anello  $C^*(X)$  delle funzioni reali continue e limitate definite su uno spazio  $X$  completamente regolare. Nella  $\sigma$ ,  $C^*(X)$  ha come spazio duale quello delle misure con segno su  $X$ , mentre nelle  $\tau$ ,  $\beta$  e  $\delta$  i duali sono rispettivamente gli spazi delle misure di Baire con segno net-additive, compatte regolari e discrete.

Vengono stabilite varie relazioni fra tali topologie, e fra esse e la topologia di  $X$ . Fra l'altro, si prova che  $\beta$  è completa se e solo se ogni funzione limitata continua che sia continua su ogni sottoinsieme compatto di  $X$  è continua in  $X$ ; e che  $\delta$  è completa se e solo se  $X$  è discreto.

**SUMMARY** - In this paper, four locally convex topologies  $\sigma$ ,  $\tau$ ,  $\beta$  and  $\delta$ , on  $C^*(X)$ , the ring of all bounded continuous real functions on a completely regular space  $X$ , are considered. Under  $\sigma$ ,  $C^*(X)$  has, as a dual space, the space of all signed Baire measures on  $X$ , while the duals of  $C_F(X)$  under  $\tau$ ,  $\beta$  and  $\delta$  are the spaces of all net-additive, all compact regular and all discrete signed Baire measures respectively.

The bulk of the work establishes various relations among these topologies, and between them and the topology of the underlying space  $X$ . For instance, it is shown that  $\beta$  is complete iff every bounded function which is continuous on compact subsets of  $X$  is continuous on  $X$ ; and that  $\delta$  is complete iff  $X$  is discrete.

## § 1. Introduction.

Let  $X$  be a completely regular Hausdorff space and let  $O^*(X)$  denote the ring of all bounded continuous real-valued functions on

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$X$ . Under the uniform norm topology  $C^*(X)$  is a Banach space. When  $X$  is compact, the maximal ideals in  $C^*(X)$  are in one-to-one correspondence with points of  $X$ ; i. e.  $X$  has a « faithful » representation within the algebraic structure of  $C^*(X)$ . Furthermore, the Banach space  $C^*(X)$  gives as dual the vector space of all signed Baire measures on  $X$ . When  $X$  is not compact, the simplicity in the relation between  $X$  and  $C^*(X)$  breaks down. Indeed, as a Banach space,  $C^*(X)$  is isometrically isomorphic to  $C^*(\beta X)$ , where  $\beta X$  is the Stone-Cech compactification of  $X$ . So  $X$  does not have a « faithful » representation in  $C^*(X)$ ; and elements of the dual of  $C^*(X)$  give rise to signed measures which may be purely finitely additive.

In a previous paper by the author, a locally convex topology  $\sigma$  on  $C^*(X)$  was introduced. There it is shown that, under  $\sigma$ ,  $C^*(X)$  has the space of all signed Baires as dual and that, when  $X$  is realcompact, points of  $X$  are in one-to-one correspondence with the closed maximal ideals in  $C^*(X)$ . In this note, three more locally convex topologies  $\tau$ ,  $\beta$  and  $\delta$  are defined on  $C^*(X)$ . In § 3 and § 6 it is shown that the duals of  $C^*(X)$  under  $\tau$ ,  $\beta$  and  $\delta$  are the spaces of all net-additive signed measures, all compact-regular signed measures and all discrete signed measures respectively. It follows from this that, under  $\tau$ ,  $\beta$  and  $\delta$ , the multiplicative linear functionals on  $C^*(X)$  correspond, via valuation, to points of  $X$ . In § 4 some necessary and sufficient conditions for the completeness of the topologies  $\sigma$ ,  $\beta$  and  $\delta$  are given. Various properties of these topologies and their relation to  $X$  are discussed in § 5. § 7 contains alternative definitions of the above topologies together with the compact open topology  $\kappa$ . Of particular interest is theorem (7.3) where the description of  $\beta$  appears as a natural generalization of the definition of the strict topology as introduced by Buck for locally compact spaces.

## § 2. Preliminary Material.

Throughout,  $X$  will denote a completely regular Hausdorff space. We denote by  $C^*(X)$ , or just  $C^*$  when no ambiguity can arise, the ring of all bounded real-valued continuous functions on  $X$ . All unexplained notions concerning this ring are those of [6]; other topological ones are those of [9]. As for the measure theory, we adopt the terminology of [7].

For  $f \in C^*(X)$ , write,

$Z(f) = \{x \in X : f(x) = 0\}$ , called the zero set of  $f$ ;

$P(f) = \{x \in X : f(x) > 0\}$ , called the positive set of  $f$ .

The *Baire (Borel)* sets are defined to be elements of the smallest  $\sigma$  algebra of subsets of  $X$  containing all the zero sets (all the closed sets). By a *Baire measure* we mean a totally finite non-negative countably additive set function defined on the Baire sets. A *signed measure* is a difference of two measures. We remark that the countable additivity of a Baire measure  $\mu$  implies the regularity of  $\mu$  in the sense of inner approximation by zero sets and outer approximation by positive sets.

Let  $\mathcal{M}$  denote the vector space of all signed Baire measures on  $X$ .  $\mu \in \mathcal{M}$  is said to be *net additive* if for any decreasing net  $\{Z_\alpha\}$  of zero subsets of  $X$  such that  $\bigcap_\alpha Z_\alpha = \Phi$  ( $Z_\alpha \searrow \Phi$ ), we have,  $|\mu|(Z_\alpha) \rightarrow 0$ , where  $|\mu|$  is the total variation of  $\mu$  (cf. [12]).  $\mu$  is said to be *compact-regular (discrete)* if for each  $\varepsilon > 0 \exists$  a compact set (finite set)  $K \subset X$  such that,  $|\mu|_*(X \setminus K) < \varepsilon$ . Clearly,  $\mu$  is discrete  $\implies \mu$  is compact regular  $\implies \mu$  is net additive. On the other hand a net additive signed measure  $\mu$  is discrete if and only if  $|\mu|$  has no non-trivial non-atomic minorant.

A subset  $A \subset \mathcal{M}$  is said to be *tight (discretely tight)* if for each  $\varepsilon > 0 \exists$  a compact (finite) set  $K \subset X$  such that  $|\mu|_*(X \setminus K) < \varepsilon$  for all  $\mu \in A$ . We say that  $X$  is *essentially discrete (essentially compact)* if every Baire measure on  $X$  is discrete (compact-regular).

Let  $\mathcal{M}_\tau, \mathcal{M}_c$  and  $\mathcal{M}_d$  denote, respectively the subspaces of all net-additive, all compact-regular and all discrete signed measures on  $X$ . The operation of integration enables us to identify  $\mathcal{M}, \mathcal{M}_\tau, \mathcal{M}_c$  and  $\mathcal{M}_d$  with subspaces of the vector space of all norm bounded linear functionals on  $C^*(X)$ . These subspaces are characterized in the following proposition [12, part I].

**PROPOSITION 2.1.** *Let  $L$  be a norm bounded linear functional on  $C^*(X)$ . Then,*

(i)  $L \in \mathcal{M}$  if and only if for each sequence  $\{f_n\}$ , of elements of  $C^*(X)$  pointwise decreasing to zero ( $f_n \searrow 0$ ), we have,  $|L|(f_n) \rightarrow 0$ .

(ii)  $L \in \mathcal{M}_\tau$  if and only if for each net  $\{f_\alpha\}$  in  $C^*(X)$  pointwise decreasing to zero ( $f_\alpha \searrow 0$ ), we have,  $|L|(f_\alpha) \rightarrow 0$ .

(iii)  $L \in \mathcal{M}_c$  if and only if for each uniformly bounded net  $\{f_\alpha\}$  such that  $f_\alpha \rightarrow 0$  uniformly on compact subsets of  $X$ , we have  $|L|(f_\alpha) \rightarrow 0$ .

(iv)  $L \in \mathcal{M}_a$  if and only if for each uniformly bounded net  $\{f_\alpha\}$  such that  $f_\alpha(x) \rightarrow 0$  for all  $x \in X$ , we have  $|L|(f_\alpha) \rightarrow 0$ .

Denote by  $u$ ,  $\varkappa$  and  $\pi$  the uniform norm topology, the compact-open topology and the simple convergence topology, respectively, on  $C^*(X)$ .  $f_\alpha \xrightarrow{\varkappa} f$  if and only if  $f_\alpha \rightarrow f$  uniformly on compact subsets of  $X$ .  $f_\alpha \xrightarrow{\pi} f$  if and only if  $f_\alpha(x) \rightarrow f(x)$  for all  $x \in X$ . The proof of the following proposition is straightforward.

**PROPOSITION 2.2.** *The dual of  $C^*(X)$  under  $\varkappa(\pi)$  is the space  $\mathcal{M}_k(\mathcal{M}_p)$  of all signed measures with compact (finite) supports. Further,  $\mathcal{M}_k(\mathcal{M}_p)$  is norm dense in  $\mathcal{M}_c(\mathcal{M}_a)$ .*

Let  $\mathcal{A}$  be the family of all monotonic  $\pi$ -convergent sequences, in  $C^*(X)$ , together with their limits. We define the topology  $\sigma$ , (see [1]), to be the finest locally convex topology on  $C^*(X)$ , which agrees with  $\varkappa$  on each  $A \in \mathcal{A}$  (i. e.  $\sigma$  is the topology of localization of  $\varkappa$  on elements of  $\mathcal{A}$ ). Clearly  $\varkappa \leq \sigma \leq u$  (where « $\leq$ » means «coarser than»). We give some properties of  $\sigma$  in the following proposition. (See [1] for proofs).

**PROPOSITION 2.3.**

(i)  $\mathcal{M}$  is the dual of  $(C^*, \sigma)$ .

(ii)  $\sigma = u$  if and only if  $X$  is pseudocompact.

(iii) If  $X$  is realcompact, then the  $\sigma$ -closed maximal ideals in  $C^*$  are in one-to-one correspondence with points of  $X$ , i. e.  $(C^*, \sigma)$  determines  $X$  uniquely.

For a locally compact  $X$ , the strict topology  $\beta$ , introduced by Buck [2], has recently received considerable attention. See [3], [4] and [5]. This topology is defined by the family of seminorms,  $\|\cdot\|_\psi$   $\{\psi \in C_0(X)\}$ , where  $C_0(X)$  is the set of all continuous functions vanishing at infinity, and,

$$\|f\|_\psi = \|\psi f\| = \sup_{x \in X} |\psi(x)f(x)|,$$

for all  $f \in C^*$ . Under the strict topology,  $C^*$  is a complete locally convex space having  $\mathcal{M}_c$  as a dual space [2, p. 98].

§ 3. The Topologies  $\beta$  and  $\delta$ .

Let  $X$  be any completely regular Hausdorff space. Define the topologies  $\beta$  and  $\delta$ , on  $C^*(X)$ , to be the finest locally convex topologies which agree with, respectively,  $\varkappa$  and  $\pi$  on all uniformly bounded sets. Clearly  $\delta \leq \beta \leq \sigma \leq u$ ; and, when  $X$  is locally compact,  $\beta$  agrees with the strict topology [5]. A neighbourhood base at 0 for the topology  $\beta(\delta)$  is the family of all circled convex and radial sets  $U$  containing 0, such that for any uniformly bounded set  $B$  containing 0,  $\exists$  a  $\varkappa(\pi)$ -neighbourhood  $V$  of 0 such that  $V \cap B \subset U$ . More useful and smaller neighbourhood bases at 0, for  $\beta$  and  $\delta$ , are given in the following proposition.

PROPOSITION 3.1. *The family of all sets obtained by taking the closed convex hulls of sets of the form.*

$$\bigcup_{n=1}^{\infty} \{f: \|f\| < n, \text{ and } \sup_{x \in K_n} |f(x)| < \varepsilon_n\},$$

for some increasing sequence  $\{K_n\}$  of compact (finite) subsets of  $X$  and a sequence of positive numbers  $\{\varepsilon_n\}$  with  $\varepsilon_n \searrow 0$ , form a neighbourhood base at 0 for the topology  $\beta(\delta)$ .

PROOF: We prove the proposition for the topology  $\beta$ . The proof for  $\delta$  is similar.

Let  $\{K_n\}$  be an increasing sequence of compact subsets of  $X$  and  $\{\varepsilon_n\}$  be such that  $0 < \varepsilon_n$  for all  $n$  and  $\varepsilon_n \searrow 0$ . Clearly the closed convex hull  $U$ , of the set

$$\bigcup_{n=1}^{\infty} \{f: \|f\| < n, \text{ and } \sup_{x \in K_n} |f(x)| < \varepsilon_n\},$$

is circled and radial. Let  $B$  be a uniformly bounded set containing 0. Then for some integer  $m$ ,  $\|f\| < m$  for all  $f \in B$ . Thus,

$$U \supset \{f: \|f\| < m, \text{ and } \sup_{x \in K_m} |f(x)| < \varepsilon_m\} \supset B \cap \{f: \sup_{x \in K_m} |f(x)| < \varepsilon_m\}.$$

Hence  $U$  is a  $\beta$  neighbourhood of 0.

Conversely, let  $V$  be any closed convex  $\beta$ -neighbourhood of 0. Then for each  $n \exists$  a  $\varkappa$ -neighbourhood  $U_n$  such that

$$V \supset U_n \cap \{f: \|f\| < n\}.$$

$U_n$  can be chosen to have the form,

$$\{f: \sup_{x \in K_n} |f(x)| < \varepsilon_n\},$$

for some compact set  $K_n$  and some  $\varepsilon_n > 0$ . By replacing  $K_n$  with  $\bigcup_1^n K_i$  and  $\varepsilon_n$  with  $\frac{1}{n} \varepsilon'_n$ , where  $\varepsilon'_n = \inf \{\varepsilon_i: i \leq n\}$ , we may assume that  $\{K_n\}$  is increasing and  $\{\varepsilon_n\}$  is decreasing to zero. Clearly,

$$V \supset \bigcup_{n=1}^{\infty} \{f: \|f\| < n, \text{ and } \sup_{x \in K_n} |f(x)| < \varepsilon_n\}.$$

As  $V$  is closed and convex, the proposition is proved.

We now characterize the dual spaces of  $C^*$  under  $\beta$  and  $\delta$ .

**THEOREM 3.2.**

(i) *The dual of  $(C^*, \beta)$  is the space  $\mathcal{M}_c$  of all compact-regular signed Baire measures on  $X$ .*

(ii) *The dual of  $(C^*, \delta)$  is the space  $\mathcal{M}_d$  of all discrete signed Baire measures on  $X$ .*

**PROOF:** (i) Suppose that  $\mu$  is a compact-regular signed measure on  $X$ . It follows from (2.1 (ii)) that the corresponding functional  $L$  is  $\varkappa$ -continuous on all uniformly bounded sets. Hence, by the definition of  $\beta$ ,  $L$  is  $\beta$ -continuous.

Conversely suppose that  $L$  is  $\beta$ -continuous. Then  $L$  is  $\varkappa$ -continuous on every uniformly bounded set. So, by (2.1 (ii)), the corresponding signed measure is compact-regular. This completes the proof of (i); and (ii) can be proved similarly.

The following theorem shows how  $X$  can be recaptured from either  $(C^*, \delta)$  or  $(C^*, \beta)$ .

**THEOREM 3.3.** *Let  $\tau$  be either  $\beta$  or  $\delta$ . Then points of  $X$  are in one-to-one correspondence with the set of all  $\tau$ -closed maximal ideals. Thus, if  $X$  and  $Y$  are such that  $(C^*(X), \tau)$  and  $(C^*(Y), \tau)$  are isomorphic then  $X$  and  $Y$  are homeomorphic.*

PROOF: For  $x \in X$ , the maximal ideal  $I_x = \{f: f(x) = 0\}$  is clearly  $\tau$ -closed.

Conversely suppose that  $I$  is a  $\tau$ -closed maximal ideal. Then  $\exists$  a  $\tau$ -continuous multiplicative linear functional  $L$  such that  $I = L^{-1}(0)$ . By (2.1)  $\exists \mu \in \mathcal{M}_c$  such that  $L(f) = \int_X f d\mu$ . As  $L$  is multiplicative,  $\mu$  is two-valued. Since  $\mu$  is compact-regular  $\exists x \in X$  such that  $\mu^*(\{x\}) = \mu(X)$ . Therefore  $I = \{f: f(x) = 0\}$ . As the space of all such maximal ideal equipped with the Stone topology, [6, p. 58], is clearly homeomorphic to  $X$ , the proof is complete.

We conclude this section by giving a Stone Weierstrass theorem. In [1] it is shown that if  $X$  is essentially compact then a separating subalgebra, of  $C^*(X)$ , which contains a constant function is  $\sigma$ -dense in  $C^*(X)$ . The same method of proof which depended only on the fact that the dual space is  $\mathcal{M}_c$  can be used to show the following.

**THEOREM 3.4.** *Let  $A$  be a subalgebra of  $C^*(X)$  which separates points of  $X$  and contains the constant function 1. Then  $A$  is  $\beta$ -dense, and hence also  $\delta$ -dense, in  $C^*(X)$ .*

#### § 4. Completeness Theorems.

We say that  $X$  is a  $k$ -space if  $X$  satisfies the condition: if a subset  $A$  of  $X$  intersects each compact set in a closed set, then  $A$  is closed.  $X$  is called a  $k^*$ -space if  $X$  satisfies the condition: a bounded real-valued function  $f$  is continuous whenever it is continuous on each compact set.

We now give a completeness theorem for the topology  $\beta$ :

**THEOREM 4.1.**  *$\beta$  is complete if and only if  $X$  is a  $k^*$ -space.*

For the proof we need some lemmas. The following characterizes equicontinuous subsets of the dual of  $(C^*, \beta)$ .

**LEMMA 4.2.** *A subset  $E$  of the dual of  $(C^*, \beta)$  is equicontinuous if and only if  $E$  is norm bounded and tight.*

PROOF. Suppose that  $E$  is equicontinuous. Then  $E$  is bounded for the strong topology of uniform convergence on  $\beta$ -bounded subsets of  $C^*$  [11, p. 141]. By theorem (5.4) of the next section, every  $\beta$ -bounded subset of  $C^*(X)$  is norm-bounded. Thus  $E$  is bounded

for the topology of uniform convergence on norm bounded subsets of  $C^*$ . Hence  $E$  is norm bounded. So  $\exists M > 0$  such that  $|\mu|(X) < M$  for all  $\mu \in E$ . Since  $E$  is equicontinuous,  $E^0$ , the polar of  $E$ , is a  $\beta$ -neighbourhood of 0. By (3.1)  $E^0$  contains a set of the form:

$$(*) \quad \bigcup_{n=1}^{\infty} \{f: \|f\| \leq n, \text{ and } \sup_{x \in K_n} |f(x)| < \varepsilon_n\};$$

where  $\{K_n\}$  is an increasing sequence of compact sets and  $0 < \varepsilon_n \searrow 0$ . Suppose that  $E$  is not tight. Then  $\exists \varepsilon > 0$  such that for any compact subset  $K$  of  $X \exists \mu \in E$  such that  $|\mu|_*(X \setminus K) > \varepsilon$ . Choose  $n$  large enough so that  $\varepsilon_n < \frac{1}{M}$  and  $\frac{2}{n} < \varepsilon$ . Now consider the corresponding  $K_n$  in (\*).  $\exists \mu \in E$  such that  $|\mu|_*(X \setminus K_n) > \varepsilon$ . Since  $\mu \in \mathcal{M}_c$ ,  $\mu$  has a Borel extension  $\nu$  which satisfies,

$$|\nu|(G) = |\mu|_*(G),$$

for all open  $G \subset X$  [10, p. 144]. Thus  $|\nu|(X \setminus K_n) > \varepsilon$ .

Let  $\nu = \nu^+ - \nu^-$  be a Jordan decomposition of  $\nu$ . Find two disjoint compact subsets  $C_1$  and  $C_2$  of  $X \setminus K_n$  so that,

$$\nu^+(C_2) = \nu^-(C_1) = 0,$$

$$|\nu|(C_1 \cup C_2) > \varepsilon$$

and

$$|\nu|[X \setminus (K_n \cup C_1 \cup C_2)] < \frac{1}{2n}.$$

Let  $f \in C^*(X)$  be such that  $f(K_n) = \frac{\varepsilon_n}{2}$ ,  $f(C_1) = n$ ,  $f(C_2) = -n$  and  $\|f\| = n$ . Then  $f \in E^0$ . Now,

$$\int_X f d\nu = \int_{K_n} f d\nu + \int_{C_1 \cup C_2} f d\nu + \int_D f d\nu$$

where  $D = X \setminus (K_n \cup C_1 \cup C_2)$ .

$$\text{Clearly, } \int_{C_1 \cup C_2} f d\nu > 2, \quad \left| \int_{K_n} f d\nu \right| \leq M \frac{\varepsilon_n}{2} < \frac{1}{2}$$

and

$$\left| \int_D f d\nu \right| \leq \frac{1}{2}.$$



Thus  $\left| \int_X f d\nu \right| > 1$ . i.e.  $f \notin E^0$  and we have a contradiction. So  $E$  is tight.

Conversely suppose that  $E$  is tight and is norm bounded. We want to show that  $E^0$  is a  $\beta$ -neighbourhood of 0. For each  $n \exists$  a compact subset  $K_n$  of  $X$  such that,  $|\mu|_*(X \setminus K_n) < \frac{1}{2n}$ , for all  $\mu \in E$ . Clearly we can choose  $\{K_n\}$  so that  $K_n \subset K_{n+1}$ . Write,

$$A_n = \left\{ f \in C^* : \|f\| \leq n, \quad \text{and} \quad \sup_{x \in K_n} |f(x)| < \frac{1}{2Mn} \right\},$$

where  $M$  is such that  $\|\mu\| \leq M$  for all  $\mu \in E$ . Now, let  $f \in A_n$  for some  $n$ . Then,  $\left| \int_{K_n} f d\mu \right| < \frac{1}{2}$ , and  $\left| \int_{X \setminus K_n} f d\mu \right| \leq \frac{n}{2n} = \frac{1}{2}$ , for all  $\mu \in E$ .

So  $\left| \int f d\mu \right| \leq 1$  for all  $\mu \in E$ . Thus  $E^0 \supset \bigcup_{n=1}^{\infty} A_n$ . As  $E^0$  is closed and convex, it follows from (3.1) that  $E^0$  is a  $\beta$ -neighbourhood of 0. This completes the proof of the lemma.

The following lemma is found in [8, p. 248].

**LEMMA 4.3.** *Let  $F$  be a locally convex Hausdorff space,  $F'$  its dual, and  $A$  a closed convex circled subset of  $F$ . Let  $w$  be a linear form on  $F$  whose restriction to  $A$  is continuous on  $A$ . For every  $\epsilon > 0 \exists$  a linear form  $x' \in F'$  such that  $|w(x) - \langle x', x \rangle| < \epsilon$  for all  $x \in A$ .*

Finally we need the following corollary of Grothendieck's completeness theorem [8, p. 250].

**LEMMA 4.4.** *Let  $F$  be a locally convex Hausdorff space and  $F'$  its dual. Then the completion  $\tilde{F}$  of  $F$  can be identified with the vector space of all linear forms on  $F'$  whose restrictions to equicontinuous subsets of  $F'$  are continuous for  $\sigma(F', F)$ .*

**PROOF OF THEOREM 4.1.** Suppose that  $X$  is a  $k^*$ -space, and let  $w$  be a linear form on  $\mathcal{M}_c$  which is continuous on each  $\beta$ -equicontinuous subset relative to  $\sigma(\mathcal{M}_c, C^*)$ . Define  $f$  on  $X$  by:  $f(x) = w(\delta_x)$ , where  $\delta_x$  is the measure induced on  $X$  by placing a unit-point-mass at  $x$ . We first show that  $f$  is bounded. Suppose that  $f$  is not bounded. Then for each  $n \exists x_n \in X$  such that  $f(x_n) > 2^n$ . Let

$\mu_n = \frac{1}{n} \delta_{x_n}$ . Clearly, the set  $\{0, \mu_1, \mu_2, \dots\}$  is tight and is norm bounded. It follows from (4.2) that it is  $\beta$ -equicontinuous. Thus  $w$  is  $\sigma(\mathcal{M}_c, C^*)$ -continuous on  $\{0, \mu_1, \mu_2, \dots\}$ . As  $\mu_n \rightarrow 0$  relative to  $\sigma(\mathcal{M}_c, C^*)$ , and  $w(\mu_n) = \frac{1}{n} f(x_n) \rightarrow \infty$ , we have a contradiction. So  $f$  is bounded.

Now let  $K \subset X$  be compact. Write,

$$A = \{\mu \in \mathcal{M}_c : \text{supp}(\mu) \subset K \text{ and } \|\mu\| < 1\},$$

where  $\text{supp}(\mu)$  is the support of  $\mu$ . Clearly  $A$  is convex, circled and closed relative to  $\sigma(\mathcal{M}_c, C^*)$ . By (4.2)  $A$  is  $\beta$ -equicontinuous. By hypothesis  $w$  is  $\sigma(\mathcal{M}_c, C^*)$ -continuous on  $A$ . By (4.3) we have, for each  $\varepsilon > 0 \exists g \in C^*$  such that,

$$\left| w(\mu) - \int_X g d\mu \right| < \varepsilon,$$

for all  $\mu \in A$ . In particular,  $|f(x) - g(x)| < \varepsilon$ , for all  $x \in K$ . Thus  $f$  is continuous on  $K$ . As  $X$  is a  $k^*$ -space,  $f \in C^*(X)$ .

We now show that  $w(\mu) = \int_X f d\mu$  for all  $\mu \in \mathcal{M}_c$ . By (2.2) the vector space  $\mathcal{M}_k$ , of all signed measures with compact supports, is the dual of  $(C^*, \kappa)$ . As  $w$  is  $\sigma(\mathcal{M}_k, C^*)$ -continuous on each  $\kappa$ -equicontinuous subset of  $\mathcal{M}_k$ , the restriction of  $w$  to  $\mathcal{M}_k$  is in the completion of  $(C^*, \kappa)$ . But, the completion of  $(C^*, \kappa)$  is the space  $C(X)$  of all real-valued functions whose restrictions to each compact subset are continuous. Thus  $\exists h \in C(X)$  such that  $\int_X h d\mu = w(\mu)$  for all  $\mu \in \mathcal{M}_k$ . It follows that  $h = f \in C^*$ . Hence, we have

$$\int_X f d\mu = w(\mu), \text{ for all } \mu \in \mathcal{M}_k.$$

Now let  $\mu \in \mathcal{M}_c$  and  $\nu$  its Borel extension. For each  $n \exists$  a compact set  $K_n$  such that  $|\mu|_*(X \setminus K_n) < \frac{1}{n}$ . Define  $\nu_n(E) = \nu(E \cap K_n)$ , for all Borel  $E \subset X$ . Let  $\mu_n$  be the Baire restriction of  $\nu_n$ . Clearly,  $\mu_n \rightarrow \mu$  relative to  $\sigma(\mathcal{M}_c, C^*)$ , and, by (3.2) the set  $\{\mu, \mu_1, \dots\}$  is

$\beta$ -equicontinuous. So,  $w(\mu_n) \rightarrow w(\mu)$ . But,

$$w(\mu_n) = \int f d\mu_n \rightarrow \int f d\mu.$$

Therefore,  $\int f d\mu = w(\mu)$  for all  $\mu \in \mathcal{M}_c$ . It follows from (4.4) that  $(C^*, \beta)$  is complete.

Conversely, suppose that  $\beta$  is complete. Let  $f$  be a bounded function which is continuous on each compact subset of  $X$ . Then  $\exists$  a net  $\{f_\alpha\}$  of bounded continuous functions such that  $f_\alpha \xrightarrow{\alpha} f$ . Since  $f$  is bounded we can assume that  $\|f_\alpha\| \leq \|f\|$  for all  $\alpha$ . It follows that  $\{f_\alpha\}$  is  $\beta$ -Cauchy. As  $(C^*, \beta)$  is complete,  $f \in C^*(X)$ . Thus  $X$  is a  $k^*$ -space. This completes the proof.

The following theorem characterizes those spaces for which  $\delta$  is complete.

**THEOREM 4.5.**  *$\delta$  is complete if and only if  $X$  is discrete.*

**PROOF:** Suppose that  $X$  is discrete. Then  $\alpha = \pi$ , and so  $\delta = \beta$ . By (4.1),  $(C^*, \delta)$  is complete.

Conversely suppose that  $(C^*, \delta)$  is complete. Let  $f$  be any bounded real-valued function.  $\exists$  a net  $\{f_\alpha\}$  such that  $f_\alpha \xrightarrow{\pi} f$ . Clearly, we can assume that  $\|f_\alpha\| \leq \|f\|$  for all  $\alpha$ . Therefore  $\{f_\alpha\}$  is  $\delta$ -Cauchy and so  $f_\alpha \xrightarrow{\delta} h$  for some  $h \in C^*$  as  $f_\alpha \xrightarrow{\pi} f, h = f$ .

Thus every bounded function on  $X$  is continuous. i.e.  $X$  is discrete.

From theorem (4.1) we can obtain a more general result. Let  $E$  be a locally convex Hausdorff space and  $E'$  its dual. A locally convex topology  $t$  is said to be compatible with the pairing  $(E, E')$  if  $E$  equipped with  $t$  has  $E'$  as a dual space. Among all locally convex topologies on  $E$  compatible with the pairing  $(E, E')$  there is a finest element called the *Mackey topology* and is denoted by  $\tau(E, E')$ . A locally convex space  $E$  is called a *Mackey space* if the topology of  $E$  is  $\tau(E, E')$ .  $\tau(E, E')$  is the same as the topology of uniform convergence on all circled, convex,  $\sigma(E', E)$ -compact subsets of  $E'$  [11, p. 131]. We now give the extension of (4.1).

**THEOREM 4.6.** *Let  $X$  be a  $k^*$ -space and suppose that  $t$  is a locally convex topology on  $C^*(X)$  such that  $\beta \leq t \leq \tau(C^*, \mathcal{M}_c)$ . Then  $t$  is complete. In particular if  $X$  is an essentially compact  $k^*$ -space then  $\sigma$  is complete.*

PROOF: By hypothesis the dual of  $(C^*, t)$  is  $\mathcal{M}_c$ . Let  $w$  be a linear form on  $\mathcal{M}_c$  which is  $\sigma(\mathcal{M}_c, C^*)$ -continuous on each  $t$ -equicontinuous subset of  $\mathcal{M}_c$ . Since  $\beta \leq t$ ,  $w$  is  $\sigma(\mathcal{M}_c, C^*)$ -continuous on each  $\beta$ -equicontinuous subset of  $\mathcal{M}_c$ . By (4.1)  $\exists f \in C^*$  such that  $w(\mu) = \int_X f d\mu$  for all  $\mu \in \mathcal{M}_c$ . It follows from (4.4) that  $t$  is complete.

Since in an essentially compact space  $\beta \leq \sigma \leq \tau(C^*, \mathcal{M}_c)$ , the theorem is proved.

### § 5. Further Properties of $\sigma$ , $\beta$ and $\delta$ .

A locally convex space  $E$  is said to be *strongly Mackey* if every  $\sigma(E', E)$ -compact subset of  $E'$  is equicontinuous. Obviously a strong Mackey space is Mackey, but the converse is false unless the closed convex hull of a  $\sigma(E', E)$ -compact subset of  $E'$  is compact. The following theorem characterizes weakly compact subsets of  $\mathcal{M}$  and implies that under  $\sigma$ ,  $C^*$  is strongly Mackey.

**THEOREM 5.1.** *Let  $H$  be a subset of  $\mathcal{M}$ . Then the following conditions are equivalent:*

- (i)  $H$  is  $\sigma$ -equicontinuous.
- (ii)  $H$  is  $\sigma(M, C^*)$ -relatively compact.
- (iii) For each sequence  $\{f_n\}$  of elements of  $C^*$  with  $f_n \searrow 0$ , we have

$$\int f_n d|\mu| \rightarrow 0 \quad \text{uniformly in } \mu \in H.$$

- (iv) For any sequence  $\{Z_n\}$  of zero subsets of  $X$  such that  $Z_n \not\rightarrow X$ , and for each  $n \exists$  a positive set  $P_n$  such that  $Z_n \subset P_n \subset Z_{n+1}$ , we have,  $|\mu|(X \setminus Z_n) \rightarrow 0$  uniformly in  $\mu \in H$ .

PROOF: (i)  $\implies$  (ii) is obvious. (ii)  $\implies$  (iii)  $\iff$  (iv) follows from [12, th. 28]. It remains to show that (iii)  $\implies$  (i).

Suppose that  $H$  satisfies (iii) and let  $\{f_n\}$  be a sequence in  $C^*$  such that  $f_n \searrow f$ . Write  $A = \{f, f_1, \dots\}$ , and suppose  $0 \in A$ . If  $0 \neq f$  then  $\exists$  a  $\kappa$ -neighbourhood  $V$  of  $0$  such that  $V \cap A = \{0\} \subset H^0$ . Now suppose that  $f = 0$ . By (iii)  $\exists N$  such that,  $\int f_n d|\mu| \leq 1$  for all  $n \geq N$  and all  $\mu \in H$ . Hence,  $\left| \int f_n d\mu \right| \leq 1$  for all  $n \geq N$  and all  $\mu \in H$ .

Find a  $\varkappa$ -neighbourhood  $V$  of  $O$  such that  $f_i \notin V$  for all  $i$  such that  $i \leq N$  and  $f_i \neq 0$ .

It follows that  $V \cap A \subset H^0$ . Since the same conclusion is similarly valid if  $\{f_n\}$  is increasing,  $H^0$  is a  $\sigma$ -neighbourhood of  $0$ . i.e.  $H$  is  $\sigma$ -equicontinuous. This completes the proof.

The above theorem implies that  $\sigma$  is Mackey and the conditions: (i)  $\beta$  is Mackey, (ii)  $\beta$  is strongly Mackey, and (iii)  $\beta = \sigma$ , are equivalent when  $X$  is essentially compact. It follows from (4.2) and from [12, th. 29 and th. 2 (appendix)] that these conditions are implied by essential compactness when  $X$  is either locally compact or metrizable. Whether the same is true when  $X$  is just a  $k^*$ -space, or even a  $k$ -space, is not known.

To establish the relation between  $\beta$  and  $\delta$  we need the following proposition whose proof is similar to that of (4.2).

**PROPOSITION 5.2.** *A subset  $H \subset \mathcal{M}_d$  is  $\delta$ -equicontinuous if and only if  $H$  is norm bounded and discretely tight.*

**COROLLARY 5.3.** *The conditions:*

(i)  $\delta$  is strongly Mackey,

(ii)  $\delta = \beta$ ,

(iii) Every compact subset of  $X$  is finite,

are related by: (i)  $\implies$  (ii)  $\iff$  (iii). Furthermore if  $X$  is a  $k^*$ -space any of the above conditions is equivalent to (iv)  $X$  is discrete.

**PROOF:** Let  $K$  be an infinite compact subset of  $X$  and let  $H \subset \mathcal{M}_d$  be defined by:  $H = \{\delta_x\}_{x \in K}$ . Clearly  $H$  is  $\sigma(\mathcal{M}_d, \mathcal{O}^*)$ -compact and by (4.2) and (5.2)  $H$  is  $\beta$ -equicontinuous but not  $\delta$ -equicontinuous. It follows that (i)  $\implies$  (iii) and (ii)  $\implies$  (iii). As (iii)  $\implies$  (ii) is obvious, the first part is proved.

If  $X$  is a  $k^*$ -space, then obviously (iii)  $\implies$  (iv), and by [4, th. 2.6] (iv)  $\implies$  (i). This completes the proof.

The following theorem generalizes theorem (1 (iii)) of [2].

**THEOREM 5.4.** *The topologies  $\delta$ ,  $\beta$ ,  $\sigma$  and  $u$  have the same bounded sets.*

**PROOF:** As  $\delta \leq \beta \leq \sigma \leq u$ , it is sufficient to show that every  $\delta$ -bounded set is  $u$ -bounded.

Suppose that  $B \subset C^*$  is  $\delta$ -bounded but not norm bounded. Then for each  $n \exists x_n \in X$  and  $f_n \in B$  such that  $f_n(x_n) > 2^n$ . Write

$$A_n = \{f: \|f\| \leq n \text{ and, } |f(x_i)| \leq 1, i = 1, \dots, n\},$$

and let  $W$  be the convex hull of  $\bigcup_{n=1}^{\infty} A_n$ . By (3.1),  $W$  is a  $\delta$ -neighbourhood of 0. So  $\exists k > 0$  such that  $\frac{1}{k} B \subset W$ . Let  $n$  be such that  $\frac{2^n}{n} > k$ . Since  $\frac{f_n}{k} \in W$ ,  $\exists n_1, \dots, n_r, h_1, \dots, h_r$  and  $\lambda_1, \dots, \lambda_r$  such that  $h_i \in A_{n_i}$ ,  $0 \leq \lambda_i \leq 1$ ,  $i = 1, \dots, r$ ,  $\sum_{i=1}^r \lambda_i = 1$  and,

$$\frac{f_n}{k} = \sum_{i=1}^r \lambda_i h_i.$$

We may assume that  $n_1 < n_2 \dots < n_r$ . Therefore  $\left\| \frac{f_n}{k} \right\| \leq n_r$ . Thus  $n \leq n_r$ . So,

$$n < \frac{2^n}{k} < \frac{f_n(x_n)}{k} \leq \sum_{i=1}^{r-1} \lambda_i h_i(x_n) + \lambda_r \leq n_{r-1} \left( \sum_{i=1}^{r-1} \lambda_i \right) + \lambda_r.$$

Hence,

$$\frac{n-1}{n_{r-1}-1} \leq \sum_{i=1}^{r-1} \lambda_i \leq 1.$$

Therefore  $n \leq n_{r-1}$ . Thus  $|h_{r-1}(x_n)| \leq 1$ . By backward induction we obtain,

$$h_i(x_n) \leq 1, \quad \text{for } i = 1, \dots, r.$$

Thus  $\frac{f_n(x_n)}{k} \leq 1$ . This contradiction completes the proof.

As an application of the above theorem we prove:

**THEOREM 5.5.** *The following conditions are equivalent.*

- (i)  $X$  is compact (finite).
- (ii)  $\beta(\delta) = u$ .
- (iii)  $\beta(\delta)$  is metrizable.
- (iv)  $\beta(\delta)$  is bornological.

Furthermore, if  $X$  is a  $k^*$ -space then any of the above conditions for  $\beta$ , is equivalent to: (v)  $\beta$  is barrelled.

PROOF: (i)  $\implies$  (ii) and (ii)  $\implies$  (iii) are obvious. (iii)  $\implies$  (iv) follows from [11, p. 61]. It remains to show that (iv)  $\implies$  (i).

Suppose that  $\beta$  is bornological. i.e. every circled convex subset of  $C^*(X)$  which absorbs every  $\beta$ -bounded set is a neighbourhood of 0. By (5.4) every  $\beta$ -bounded set is  $u$ -bounded. Hence  $\beta = u$ . It follows from (3.2) that every norm bounded linear functional is compact regular. So  $X$  is compact [10, p. 145]. Similarly,  $X$  is finite whenever  $\delta$  is bornological.

Now suppose that  $X$  is a  $k^*$ -space. The unit ball  $B_1 = \{f: \|f\| \leq 1\}$  is clearly convex, circled and radial. As  $X$  is a  $k^*$ -space,  $B_1$  is  $\kappa$ -closed and hence  $\beta$ -closed i.e.  $B_1$  is a barrel in  $(C^*, \beta)$ . Therefore  $\beta = u$  whenever  $\beta$  is barrelled. This completes the proof.

By (2.3), we have  $\sigma = u$  if and only if  $X$  is pseudocompact. So it follows from (5.4) that the conditions: (i)  $X$  is pseudocompact, (ii)  $\sigma = u$ , (iii)  $\sigma$  is metrizable, and (iv)  $\sigma$  is bornological, are equivalent; and, if  $X$  is a  $k^*$ -space any of the above conditions is equivalent to: (v)  $\sigma$  is barrelled.

### § 6. The topology $\tau$ .

Let  $\mathcal{D}$  be the family of all subsets  $D$  of  $C^*(X)$  such that

- (i)  $0 \leq h \leq 1$ , for all  $h \in D$ , and
- (ii)  $D$  can be indexed so as to form a net  $\{h_\alpha\}$  with  $h_\alpha \nearrow 1$ .

For each  $D \in \mathcal{D}$  denote by  $t_D$  the locally convex topology defined by the family of seminorms  $\{\| \cdot \|_h\}_{h \in D}$ , where

$$\|f\|_h = \|fh\| = \sup_{x \in X} |f(x)h(x)|, \quad \text{for all } f \in C^*(X).$$

Clearly  $t_D \leq u$ . Furthermore, the fact that  $\sup_{h \in D} h(x) = 1$  for all  $x \in X$ , implies that  $t_D$  is Hausdorff and that  $\kappa \leq t_D$ . Let  $\tau_D$  be the finest locally convex topology which agrees with  $t_D$  on uniformly bounded sets. It follows that  $\beta \leq \tau_D \leq u$ . A base for the  $\tau_D$ -neighbourhoods of 0 is obtained by taking the convex hulls of sets of the form:

$$\bigcup_{n=1}^{\infty} \{f \in C^* : \|f\| \leq n \text{ and } \|fh_n\| \leq \epsilon_n\},$$

where  $\{h_n\}$  is an increasing sequence of elements of  $D$  and  $\{\varepsilon_n\}$  is a decreasing sequence of positive numbers.

We define the topology  $\tau$  on  $C^*(X)$  to be the finest locally convex topology coarser than  $\tau_D$  for all  $D \in \mathcal{D}$ . i.e.  $\tau = \inf_{D \in \mathcal{D}} \tau_D$ , where the infimum is taken in the lattice of all locally convex topologies on  $C^*(X)$ . Since  $\beta \leq \tau_D$  for all  $D \in \mathcal{D}$ , we must have  $\beta \leq \tau$ . In the following theorem we characterize elements of the dual of  $(C^*, \tau)$ .

**THEOREM 6.1.** *The dual of  $(C^*, \tau)$  is the vector space  $\mathcal{M}_\tau$  of all net-additive measures on  $X$ .*

**PROOF:** Suppose that  $0 \neq \mu \in \mathcal{M}_\tau$ . To show that  $\mu \in (C^*, \tau)'$ , it is sufficient to prove that the set,

$$W = \left\{ f \in C^* : \left| \int f d\mu \right| \leq 1 \right\},$$

is a  $\tau_D$ -neighbourhood of  $0$  for all  $D \in \mathcal{D}$ .

Let  $D \in \mathcal{D}$ . It follows from the net-additivity of  $\mu$  that for each positive integer  $n \exists h_n \in D$  such that,

$$\int (1 - h_n) d|\mu| < \frac{1}{4n}.$$

Clearly  $\{h_n\}$  can be chosen so that  $h_n \leq h_{n+1}$ .

Write,

$$U = \bigcup_{n=1}^{\infty} \left\{ f : \|f\| \leq n \text{ and } \|fh_n\| \leq \frac{1}{4n\|\mu\|} \right\}.$$

The convex hull of  $U$  is a  $\tau_D$ -neighbourhood of  $0$ . Suppose that  $f \in U$ . Then for some  $n$ ,  $\|f\| \leq n$  and  $\|fh_n\| \leq \frac{1}{4n\|\mu\|}$ . Write

$$Z = \left\{ x \in X : h_n(x) \leq \frac{1}{2} \right\}, \quad \text{and}$$

$$P = \left\{ x \in X : h_n(x) > \frac{1}{2} \right\}.$$

Then,

$$\left| \int f d\mu \right| \leq \int |f| d|\mu| = \int_P h_n^{-1} |fh_n| d|\mu| + \int_Z |f| d|\mu|.$$



Clearly  $|\mu|(Z) \leq \frac{1}{2n}$ , so that

$$\left| \int f d\mu \right| \leq \int_P h_n^{-1} |h_n f| d|\mu| + \frac{1}{2n} \|f\| \leq \frac{1}{2} + \frac{1}{2} = 1.$$

Thus,  $U \subset W$ . As  $W$  is convex,  $W$  is a  $\tau_D$ -neighbourhood of 0.

Conversely, suppose that  $L$  is a  $\tau$ -continuous linear functional on  $C^*(X)$ . Then  $L$  is norm bounded. By [12, Th. 6]  $\exists$  a finitely additive set function  $\mu$  defined on the algebra of subsets of  $X$  generated by the zero sets, such that

$$L(f) = \int f d\mu, \text{ for all } f \in C^*.$$

Suppose that  $\mu$  is not net-additive. Then  $\exists \eta > 0$  and a net  $\{P_\alpha\}$  of positive subsets of  $X$  such that  $P_\alpha \not\rightarrow X$  and  $|\mu|(X \setminus P_\alpha) > \eta$  for all  $\alpha$ . Let  $\mu^+$  and  $\mu^-$  be the positive and negative parts of  $\mu$ . Find two disjoint zero sets  $Z^+$  and  $Z^-$  such that

$$\mu^+(Z^-) = \mu^-(Z^+) = 0,$$

$$|\mu|(X \setminus Z) < \frac{\eta}{2}, \text{ and,}$$

$$|\mu|[(X \setminus P_\alpha) \cap Z] > \eta;$$

where  $Z = Z^+ \cup Z^-$ . Write,

$D = \{h \in C^* : 0 \leq h \leq 1, \text{ and, for some } \alpha, h(X \setminus P_\alpha) = 0\}$ . Index  $D$  as follows:  $D = \{h_\lambda\}_{\lambda \in A}$  so that  $\lambda \geq \lambda'$  if and only if  $h_\lambda \geq h_{\lambda'}$ . Clearly  $h_\lambda \not\rightarrow 1$ . i.e.  $D \in \mathcal{D}$ .

Since  $L$  is  $\tau$ -continuous, it is  $\tau_D$  continuous, and so  $\exists h \in D$  and  $\epsilon > 0$  such that  $|L(f)| < \frac{\eta}{2}$  whenever  $\|f\| \leq 1$  and  $\|fh\| < \epsilon$ . By definition of  $D$ ,  $\exists \alpha$  such that  $h(X \setminus P_\alpha) = 0$ . Now, it is possible to find  $g \in C^*$  with  $\|g\| = 1$  and such that

$$g[Z^+ \cap (X \setminus P_\alpha)] = 1,$$

$$g[Z^- \cap (X \setminus P_\alpha)] = -1,$$

$$g(x) = 0 \text{ whenever } h(x) \geq \epsilon,$$

and

$$\int_{P_\alpha \cap (X \setminus Z)} g \, d\mu \geq 0.$$

Clearly,  $\|gh\| < \varepsilon$  but  $\left| \int g \, d\mu \right| > \frac{\eta}{2}$ . This contradiction completes the proof.

REMARKS: (i) As  $\mathcal{M}_\tau \subset \mathcal{M}$ , and since by (5.1),  $\sigma$  is a Mackey topology, it follows from (6.1) that  $\tau \leq \sigma$ . In fact the topology  $\sigma$  can be defined in exactly the same way as  $\tau$  but with  $\mathcal{D}$  replaced by the family of all monotone sequences of non-negative functions pointwise increasing to 1.

(ii) Another consequence of (6.1) is that theorems (3.3), (3.4) and (5.5) remain valid when  $\beta$  is replaced by  $\tau$ .

(iii) Suppose that  $X$  is absolutely Borel measurable in its Stone Cech compactification  $\beta X$ . i.e.  $X$  is measurable with respect to any Borel measure on  $\beta X$ . It follows from [10, p. 148] that  $\mathcal{M}_\tau = \mathcal{M}_\sigma$  so that, by (4.6) and (6.1),  $\tau$  is complete whenever  $X$  is a  $k^*$ -space.

The following is a characterization of  $\tau$ -equicontinuous subsets of  $\mathcal{M}_\tau$ .

THEOREM 6.2. *Let  $H$  be a  $\sigma(\mathcal{M}_\tau, C^*)$ -closed subset of  $\mathcal{M}_\tau$ . Then the following are equivalent:*

- (i)  $H$  is  $\tau$ -equicontinuous.
- (ii)  $H$  is  $\sigma(\mathcal{M}_\tau, C^*)$ -compact.
- (iii) For any net  $\{f_\alpha\}$  of non-negative elements of  $C^*$ , with  $f_\alpha \nearrow 1$ , we have,

$$\int (1 - f_\alpha) \, d|\mu| \rightarrow 0 \text{ uniformly in } \mu \in H.$$

- (iv) For any net  $\{Z_\alpha\}$  of zero subsets of  $X$  with  $Z_\alpha \searrow \emptyset$ , we have,

$$|\mu|(Z_\alpha) \rightarrow 0 \text{ uniformly in } \mu \in H.$$

PROOF: It follows from (5.4) that every  $\tau$ -bounded subset of  $C^*$  is uniformly bounded. Hence every  $\tau$ -equicontinuous subset of  $\mathcal{M}_\tau$  is uniformly bounded. Using this fact and the method of proof of

theorem (6.1) it can be shown that (iv)  $\implies$  (i). (i)  $\implies$  (ii) is obvious and (iii)  $\implies$  (iv) is standard. So it remains to show that (ii)  $\implies$  (iii).

Suppose that  $H$  is  $\sigma(\mathcal{M}_\tau, C^*)$ -compact, and let  $\{f_\alpha\}$  be a net of non-negative functions such that  $f_\alpha \nearrow 1$ . By [12, th. 28], the set,

$$|H| = \{|\mu| : \mu \in H\},$$

is  $\sigma(\mathcal{M}_\tau, C^*)$  compact. Let  $\varepsilon > 0$  be given. For each  $\alpha$  write,

$$V_\alpha = \left\{ \nu : \left| \int (1 - f_\alpha) d\nu \right| < \varepsilon \right\}.$$

$V_\alpha$  is  $\sigma(\mathcal{M}_\tau, C^*)$ -open and  $\bigcup_\alpha V_\alpha \supset |H|$ . Since  $|H|$  is compact,  $\exists \{\alpha_1, \dots, \alpha_n\}$  such that  $\bigcup_{i=1}^n V_{\alpha_i} \supset |H|$ . Now let  $\alpha$  be such  $\alpha \geq \alpha_i$  for  $i = 1, \dots, n$ . Then clearly  $\int (1 - f_\alpha) d|\mu| < \varepsilon$  for all  $\mu \in H$ . It follows that  $\int (1 - f_\alpha) d|\mu| \rightarrow 0$  uniformly in  $\mu \in H$ , and the proof is complete.

An immediate consequence of the above theorem is that  $\tau$  is strongly Mackey. It also follows that  $\beta = \tau$  if and only if  $\beta$  is Mackey and  $X$  is absolutely Borel measurable in  $\beta X$ . In [4, p. 481] it is shown that for the locally compact space  $X$  of all ordinals less than the first uncountable ordinal with the order topology,  $\beta$  is not Mackey. Thus absolute Borel measurability of  $X$  in  $\beta X$  is not sufficient for  $\beta$  to be identical with  $\tau$ . It is not known whether the fact that  $\beta$  is Mackey implies  $\beta = \tau$ .

§ 7. Alternative descriptions of  $\varkappa, \delta, \beta$  and  $\sigma$ .

In § 6 the topology  $\tau$  was constructed first by localizing each  $t_D$  on uniformly bounded sets and then taking the inductive limit of the resulting topologies. These two operations do not commute. In the following theorem we show how the topology  $\varkappa$  can be defined as an inductive limit of the locally convex spaces  $\{C^*, t_D\}_{D \in \mathcal{D}}$ .

THEOREM 7.1.

$$\varkappa = \inf \{t_D : D \in \mathcal{D}\},$$

PROOF: Let  $\kappa' = \inf \{t_D : D \in \mathcal{D}\}$ . Since  $\kappa \leq t_D$  for all  $D \in \mathcal{D}$ , we must have  $\kappa \leq \kappa'$ . Clearly  $\kappa' \leq \tau$ . So it is sufficient to prove that every  $\kappa'$ -equicontinuous subset of  $\mathcal{M}_\tau$  is  $\kappa$ -equicontinuous.

Let  $H \subset \mathcal{M}_\tau$  be  $\kappa'$ -equicontinuous. Clearly  $H$  is norm bounded. Moreover, for each  $D \in \mathcal{D} \exists h_D \in D$  and  $\varepsilon_D > 0$  such that

$$(*) \quad \bigcup_{D \in \mathcal{D}} \{f \in C^* : \|fh_D\| < \varepsilon_D\} \subset H^0.$$

For each  $\mu \in H$ , let  $S_\mu$  denote the support of  $|\mu|$ . Let  $S$  be the closure of  $\bigcup_{\mu \in H} S_\mu$ . We show that  $S$  is compact. Let  $\{G_\lambda\}_{\lambda \in A}$  be an open covering of  $S$ . For each  $s \in S \exists \lambda \in A$ , a zero set  $Z_s$ , and a positive set  $P_s$ , such that,

$$s \in P_s \subset Z_s \subset G_\lambda.$$

Let  $\mathcal{L}(\mathcal{P})$  be the family of all finite unions of  $\{Z_s\}_{s \in S}$  ( $\{P_s\}_{s \in S}$ ), and index  $\mathcal{L}(\mathcal{P})$  as follows:  $\mathcal{L} = \{Z_\alpha\}_{\alpha \in A}$  ( $\mathcal{P} = \{P_\alpha\}_{\alpha \in A}$ ) so that  $\alpha \geq \beta$  if and only if  $Z_\alpha \supset Z_\beta$  ( $P_\alpha \supset P_\beta$ ). Write,

$$D = \{h \in C^* : 0 \leq h \leq 1, \quad \text{and for some } \alpha \in A, h(X \setminus P_\alpha) = 0\}.$$

Clearly  $D \in \mathcal{D}$ . So by (\*)  $\exists h \in D$  and  $\varepsilon > 0$  such that,

$$(**) \quad \{f \in C^* : \|fh\| < \varepsilon\} \subset H^0.$$

By definition of  $D$ ,  $\exists \alpha \in A$  such that  $h(X \setminus P_\alpha) = 0$ . If for some  $\mu \in H$ ,  $|\mu|_*(X \setminus \bar{P}_\alpha) > 0$ , we can find a function  $f \in C^*$  such that  $f(P_\alpha) = 0$  and  $\left| \int f d\mu \right| > 1$ , and thus obtain a contradiction to (\*\*). It follows that  $|\mu|_*(X \setminus \bar{P}_\alpha) = 0$  for all  $\mu \in H$ . i.e.  $S \subset \bar{P}_\alpha$  the closure of  $P_\alpha$ . Since  $\bar{P}_\alpha \subset Z_\alpha$ ,  $\exists$  a finite subfamily of  $\{G_\lambda\}$  which cover  $S$ . Hence  $S$  is compact.

Let  $c$  be a positive number such that  $\|\mu\| \leq c$  for all  $\mu \in H$ . Then

$$\left\{ f : \sup_{s \in S} |f(s)| < \frac{1}{c} \right\} \subset H^0.$$

i.e.  $H^0$  is a  $\kappa$ -neighbourhood of zero; and so  $H$  is  $\kappa$ -equicontinuous. This completes the proof.

We now give an alternative description of the topology  $\sigma$ . Write,

$$\mathcal{H} = \{h \in C^* : 0 < h \leq 1\}.$$

For  $h \in \mathcal{H}$  let  $s_h$  be the topology on  $C^*$  defined by the norm  $\| \cdot \|_h$ , where,

$$\|f\|_h = \|fh\| \quad \text{for all } f \in C^*.$$

Define  $\sigma_h$  to be the finest locally convex topology which agrees with  $s_h$  on uniformly bounded sets. Then,

**THEOREM 7.2.**

$$\sigma = \inf \{ \sigma_h : h \in \mathcal{H} \}.$$

**PROOF:** Let  $\sigma' = \inf \{ \sigma_h : h \in \mathcal{H} \}$ . We first show that the dual of  $(C^*, \sigma')$  is contained in  $\mathcal{M}$ . Clearly  $\sigma' \leq u$ . Let  $L$  be a  $\sigma'$ -continuous linear functional. Then  $\exists$  a finitely additive set function  $\mu$  defined on the field generated by all the zero sets such that,

$$L(f) = \int f d\mu, \quad \text{for all } f \in C^*.$$

Let  $\{Z_n\}$  be sequence of zero sets such that,

(i)  $Z_n \not\supset X$ , and

(ii) for each  $n \exists$  a positive set  $P_n$  such that  $Z_n \subset P_n \subset Z_{n+1}$ .

Such a sequence is called a *regular sequence* [12, p. 168]. It follows from [12, th. 13] that for some  $h \in C^*$ , we have,

$$Z_n = \left\{ x : h(x) \geq \frac{1}{n} \right\}.$$

Clearly  $h \in \mathcal{H}$ . Let  $\varepsilon > 0$  be given. Since  $L$  is  $\sigma_h$ -continuous  $\exists \eta > 0$  such that  $\left| \int f d\mu \right| < \varepsilon$  whenever  $\|fh\| < \eta$ , and  $\|f\| \leq 1$ . Find  $n$  such that  $\frac{1}{n} < \eta$ . It follows that for any  $f \in C^*$  such that  $\|f\| \leq 1$  and  $f(Z_n) = 0$  we have  $\left| \int f d\mu \right| < \varepsilon$ . This implies that  $|\mu|(Z) < \varepsilon$  for any  $Z$  such that  $Z \cap Z_n = \Phi$ , hence  $|\mu|(X \setminus Z_{n+1}) < \varepsilon$ , i.e.  $|\mu|(X \setminus Z_n) \rightarrow 0$ . It follows from [12, th. 19] that  $\mu \in \mathcal{M}$ . Since  $\sigma$  is a Mackey topology we have proved that  $\sigma' \leq \sigma$ . It is now sufficient to show that every  $\sigma$ -equicontinuous subset of  $\mathcal{M}$  is  $\sigma'$ -equicontinuous.

Let  $G$  be a  $\sigma$ -equicontinuous subset of  $\mathcal{M}$ . Let  $h \in \mathcal{H}$ . Write,

$$Z_n = \left\{ x : h(x) \geq \frac{1}{n} \right\}.$$

Then  $\{Z_n\}$  is a regular sequence. It follows from (5.1) that  $|\mu|(X \setminus Z_n) \rightarrow 0$  uniformly in  $\mu \in G$ . Thus for each positive integer  $k \exists$  an integer  $n(k)$  such that

$$|\mu|(X \setminus Z_{n(k)}) < \frac{1}{2k} \quad \text{for all } \mu \in G.$$

As  $G$  is norm bounded, there is no loss in generality in assuming that  $\|\mu\| \leq 1$  for all  $\mu \in G$ .

Write,

$$\mathcal{V} = \bigcup_{k=1}^{\infty} \left\{ f \in G^* : \|f\| \leq k \text{ and } \|fh\| < \frac{1}{2n(k)} \right\}.$$

Clearly the convex hull of  $\mathcal{V}$  is a  $\sigma_k$ -neighbourhood of 0. Suppose that  $f \in \mathcal{V}$ . Then for some  $k$ ,  $\|f\| \leq k$  and  $\|fh\| < \frac{1}{2n(k)}$ . Write,

$$Z = \left\{ x : h(x) \geq \frac{1}{n(k)} \right\}$$

and

$$P = \left\{ x : h(x) < \frac{1}{n(k)} \right\}.$$

Then, for any  $\mu \in G$  we have,

$$\begin{aligned} \left| \int f d\mu \right| &\leq \int |f| d|\mu| = \int_Z |fh| \frac{1}{h} d|\mu| + \int_P |f| d|\mu| \leq \\ &\leq \frac{1}{2n(k)} n(k) \|\mu\| + |\mu|(P) k \leq 1. \end{aligned}$$

Thus  $\mathcal{V} \subset G^0$ , and hence  $G^0$  is  $\sigma_k$ -neighbourhood of 0. As this is so for all  $h \in \mathcal{H}$ ,  $G$  is  $\sigma'$ -equicontinuous. This completes the proof.

Finally, we show how the topologies  $\beta$  and  $\delta$  can be defined and developed along the lines of the strict topology as introduced by Buck for locally compact spaces.

For the completely regular space  $X$  let  $B(X)$  be the Banach space of all Borel measurable real-valued function on  $X$ , with the supremum norm. Denote by  $B_K(X)$  and  $B_F(X)$  the subspaces of

all functions with compact supports and finite supports respectively and let  $\overline{B_K(X)}$  and  $\overline{B_F(X)}$  be the closures of these subspaces. Let  $\beta'$  and  $\delta'$  be the locally convex topologies defined by the families of seminorms  $\| \cdot \|_\psi$  for  $\psi \in \overline{B_K(X)}$  and  $\psi \in \overline{B_F(X)}$  respectively, where

$$\|f\|_\psi = \|\psi f\| \quad \text{for all } f \in B(X).$$

Then,

**THEOREM 7.3.** *The topologies induced by  $\beta'$  and  $\delta'$  on  $O^*(X)$  agree with  $\beta$  and  $\delta$  respectively.*

**PROOF:** We prove the theorem for the topology  $\beta$ . The proof for  $\delta$  follows similarly. For  $\psi \in \overline{B_K(X)}$  write,

$$V_\psi = \{f \in O^* : \|\psi f\| \leq 1\}.$$

Clearly  $\{V_\psi\}_{\psi \in \overline{B_K(X)}}$  form a neighbourhood base for the topology  $\beta'$  on  $O^*(X)$ . Let  $\{\psi_n\}$  be a sequence in  $B_K(X)$  such that  $\psi_n \rightarrow \psi$  in norm. If  $A$  is a norm bounded subset of  $O^*(X)$ , then  $\psi_n f \rightarrow \psi f$  uniformly in  $f \in A$  and  $x \in X$ . Let  $\varepsilon$  be such that  $0 < \varepsilon < \frac{1}{2}$ . Then  $\exists N$  such that  $|\|\psi_n f\| - \|\psi f\|| < \varepsilon$  for all  $n \geq N$  and all  $f \in A$ . Let  $M > 0$  and  $K_N$  a compact subset of  $X$  be such that  $\|\psi_N\| \leq M$  and  $\psi_N(X \setminus K_N) = 0$ . Therefore,

$$A \cap \left\{ f : \sup_{x \in K_N} |f(x)| \leq \frac{1}{2M} \right\} \subset A \cap \left\{ f : \|f \psi_N\| \leq \frac{1}{2} \right\} \subset V_\psi.$$

Thus,  $\beta' \leq \beta$ .

To show that  $\beta \leq \beta'$  it is sufficient to prove that every  $\beta$ -equicontinuous subset of  $\mathcal{M}_c$  is  $\beta'$ -equicontinuous. Let  $H \subset \mathcal{M}_c$  be  $\beta$ -equicontinuous. By (4.2)  $\exists M > 0$ , and an increasing sequence  $\{K_n\}$  of compact subsets of  $X$  such that  $|\mu|(X \setminus K_n) < \frac{1}{4^n}$  and  $\|\mu\| \leq M$  for all  $\mu \in H$ . Write,

$$\psi_1(x) = 2M, \quad \text{for } x \in K_1$$

$$= 0, \quad \text{otherwise ;}$$

and for  $n \geq 2$  write,

$$\psi_n(x) = \psi_{n-1}(x), \quad \text{for } x \in K_{n-1}$$

$$= \frac{1}{2^{n-1}}, \quad \text{for } x \in K_n \setminus K_{n-1}.$$

$$= 0 \quad \text{otherwise.}$$

Clearly  $\psi_n \in B_K(X)$  for all  $n$  and  $\psi_n \rightarrow \psi$  in norm where  $\psi$  is defined by,

$$\psi(x) = 2M, \quad \text{for } x \in K_1$$

$$= \frac{1}{2^{n-1}}, \quad \text{for } x \in K_n \setminus K_{n-1}, \quad \text{for all } n \geq 2.$$

$$= 0, \quad \text{for } x \in X \setminus \bigcup_{n=1}^{\infty} K_n.$$

Now let  $f \in V_\psi$ . Clearly,

$$|f(x)| \leq \frac{1}{2M} \quad \text{for all } x \in K_1 \text{ and}$$

$$|f(x)| \leq 2^{n-1} \quad \text{for all } x \in K_n \setminus K_{n-1}, \quad n \geq 2.$$

For  $\mu \in H$ , we have,

$$\left| \int_X f d\mu \right| \leq \int_X |f| d|\mu| = \int_{K_1} |f| d|\mu| + \sum_{n=2}^{\infty} \int_{K_n \setminus K_{n-1}} |f| d|\mu| \leq 1.$$

Thus  $V_\psi \subset H^0$ . i.e.  $H^0$  is a  $\beta'$ -neighbourhood of 0. This completes the proof.



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